



Katahdin Solutions Overview

Katahdin Solutions provides management, information technology and regulatory compliance consulting services to organizations seeking greater efficiency and value from their project delivery and compliance initiatives. We help customers refine business strategies, build strategic operating models, and implement high-value solutions to achieve targeted outcomes with carefully managed risk. Clients leverage our best practices and expertise in securities trading, regulatory compliance, and program efficiency to dynamically address their tactical and larger scale needs.

Advisory Services

Analyze situations, define targeted outcomes, build roadmaps to success, and focus expertise on achieving business objectives.

- Compliance and Regulatory Solutions
- Capability Assessment and Audit
- Interim IT Leadership
- Subject Matter Expertise
- Process Definition and Improvement
- Program and Portfolio Management
- Training

Technology Services

Full lifecycle services and management for implementation, integration, and custom development information technology projects.

- Project Delivery Management
- Solution Scoping and Planning
- Solution Architecture
- Package Selection and Implementation
- Custom Application Development
- Systems Integration
- Quality Assurance

Expertise

Commercial Real Estate Asset Management
 Equities, Fixed Income, ABS Products, CMBS Trading
 Dodd-Frank and Regulatory Compliance Solutions
 Audit
 OATS, NMS, SHO
 OMS / EMS Implementations
 Direct Market Access and FIX

Market Data and Indexes
 Auction Systems
 Exchange Integration
 Billing Solutions
 Product Development
 Institutional Brokerage
 Corporate Security and Protection
 Talent Background Verification
 Incident Case Management
 Compliance Alert Management

Corporate Treasury
 Law Offices
 Trust Administration
 Payables Processing
 Corporate Board Administration
 E-Commerce and Online Integration
 Private Wealth Management

Why Katahdin

With our unique background in legal, compliance, audit, electronic markets, and implementing information technology solutions, Katahdin's consulting team has in-depth, professional experience with the challenges facing financial services organizations.

Through information technology and advisory services, Katahdin Solutions helps organizations achieve greater efficiency and value from their project delivery and compliance initiatives.